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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FEB 06 2004

(Use as many sheets as necessary)

of 2

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	February __, 2004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
HW	A1	US-6,579,153	06/17/2003	Uchikura, et al.	
HW	A2	US-6,555,158	04/29/2003	Yoshio, et al.	
HW	A3	US-6,355,153	03/12/2002	Uzoh, et al.	
HW	A4	US-6,416,685	07/09/2002	Zhang, et al.	
HW	A5	US-6,355,075	03/12/2002	Ina, et al.	
HW	A6	US-6,354,916	03/12/2002	Uzoh, et al.	
HW	A7	US-6,258,721	07/10/2001	Li, et al.	
HW	A8	US-6,217,416	04/17/2001	Kaufman, et al.	
HW	A9	US-6,206,756	03/27/2001	Chopra, et al.	
HW	A10	US-6,143,656	11/07/2000	Yang, et al.	
HW	A11	US-6,126,853	11/07/2000 ^{10/03/2003}	Yang, et al. Kaufman et al	
HW	A12	US-6,117,775	10/03/2000 ^{01/12/2003}	Kaufman, et al. Kondo et al	
HW	A13	US-6,106,728	09/12/2000 ^{08/22/2000}	Kondo, et al. Iida et al	
HW	A14	US-6,096,652	08/01/2000	Watts, et al.	
HW	A15	US-5,954,997	09/21/1999	Kaufman, et al.	
HW	A16	US-5,002,645	03/26/1991	Eastland, Jr., et al.	
HW	A17	US-4,666,683	05/19/1987	Brown, et al.	
HW	A18	US-3,239,441	03/08/1966	Marosi, S.L.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Number-Kind Code ² (if known)				
HW	B1	EP 1 170 761	03/17/2000	Europe		
HW	B2	EP-0 527 537	02/17/1993	Europe		
HW	B3	WO 02-075804	09/26/2002	WIPO		
HW	B4	WO 03/060962 A2	07/24/2003	WIPO		
	B5					

Examiner

Date Considered

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Application Number

10/038,066

Filing Date

January 3, 2002

First Named Inventor

Chen, et al.

Group Art Unit

1742

Examiner Name

Harry D. Wilkins, III

Attorney Docket Number

AMAT/5699/CMP/CMP/RKK

Submission Date

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		Number-Kind Code ² (if known)			
AW	A1	US-2003/0113996	06/19/2003	Nogami, et al.	
AW	A2	US-2003/0114004	06/19/2003	Sato, et al.	
AW	A3	US-2003/0104762	06/05/2003	Sato, et al.	
AW	A4	US-2002/0160698	10/31/2002	Sato, et al.	
AW	A5	US-2002/0074230	06/20/2002	Basol	
AW	A6	US-2002/0072309	06/13/2002	Sato, et al.	
AW	A7	US-2002/0070126	06/13/2002	Sato, et al.	
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			
	A13	US-			
	A14	US-			
	A15	US-			
	A16	US-			
	A17	US-			
	A18	US-			

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		Number-Kind Code ² (if known)				
	B1					
	B2					
	B3					
	B4					
	B5					

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**SUPPLEMENTAL
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Sheet 1

of 3

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	03/10, 2004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
HW	A1	US-6,693,036 B1	02/17/2004	Nogami, et al.	
HW	A2	US-6,679,929 B2	01/20/2004	Asano, et al.	
HW	A3	US-6,679,928 B2	01/20/2004	Costa, et al.	
HW	A4	US-6,676,484 B2	01/13/2004	Chopra	
HW	A5	US-6,620,215 B2	09/16/2003	Li, et al.	
HW	A6	US-6,616,976 B2	09/09/2003	Montano, et al.	
HW	A7	US-6,605,537 B2	08/12/2003	Bian, et al.	
HW	A8	US-6,602,112 B2	08/05/2003	Tran, et al.	
HW	A9	US-6,596,638 B1	07/22/2003	Kondo, et al.	
HW	A10	US-6,593,239 B2	07/15/2003	Kaufman, et al.	
HW	A11	US-6,565,619 B1	05/20/2003	Asano, et al.	
HW	A12	US-6,562,719 B2	05/13/2003	Kondo, et al.	
HW	A13	US-6,551,935 B1	04/22/2003	Sinha, et al.	
HW	A14	US-6,508,952 B1	01/21/2003	Lee, et al.	
HW	A15	US-6,455,479 B1	09/24/2002	Sahbari	
HW	A16	US-6,454,819 B1	09/24/2002	Yano, et al.	
HW	A17	US-6,447,371 B2	09/10/2002	Brusic Kaufman, et al.	
HW	A18	US-6,440,295 B1	08/27/2002	Wang	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Number-Kind Code ² (if known)				
HW	B1	WO 02/66229 A1	11/07/2002	Dow Global Technologies, Inc.		
HW	B2	WO 02/23616 A1	03/21/2002	ACM Research, Inc.		
	B3					
	B4					
	B5					

Examiner

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**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2

of 3

Application Number	10/038,066
Filing Date	January 3, 2002
First Named Inventor	Chen, et al.
Group Art Unit	1742
Examiner Name	Harry D. Wilkins, III
Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
Submission Date	3/10, 2004

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
HW	A19	US-6,440,186 B1	08/27/2002	Sakai, et al.	
HW	A20	US-6,429,133 B1	08/06/2002	Chopra	
HW	A21	US-6,428,721 B1	08/06/2002	Ina, et al.	
HW	A22	US-6,419,554 B2	07/16/2002	Chopra, et al.	
HW	A23	US-6,395,152 B1	05/28/2002	Wang	
HW	A24	US-6,391,166 B1	05/21/2002	Wang	
HW	A25	US-6,375,693 B1	04/23/2002	Cote, et al.	
HW	A26	US-6,315,803 B1	11/13/2001	Ina, et al.	
HW	A27	US-6,310,019 B1	10/30/2001	Kakizawa, et al.	
HW	A28	US-6,303,551 B1	10/16/2001	Li, et al.	
HW	A29	US-6,303,049 B1	10/16/2001	Lee, et al.	
HW	A30	US-6,273,786 B1	08/14/2001	Chopra, et al.	
HW	A31	US-6,258,711 B1	07/10/2001	Laursen	
HW	A32	US-6,238,592 B1	05/29/2001	Hardy, et al.	
HW	A33	US-6,218,305 B1	04/17/2001	Mosall, et al.	
HW	A34	US-6,206,756 B1	03/27/2001	Chopra, et al.	
HW	A35	US-6,190,237 B1	02/20/2001	Huynh, et al.	
HW	A36	US-6,171,352 B1	01/09/2001	Lee, et al.	
HW	A37	US-6,153,043	11/28/2000	Edelstein, et al.	
HW	A38	US-6,143,656	11/07/2000	Yang, et al.	
HW	A39	US-6,117,853	09/12/2000	Sakai, et al.	
HW	A40	US-6,117,783	09/12/2000	Small, et al.	
HW	A41	US-6,090,239	07/18/2000	Liu, et al.	
HW	A42	US-6,083,840	07/04/2000	Mravic, et al.	
HW	A43	US-6,063,306	05/16/2000	Kaufman, et al.	
HW	A44	US-6,056,864	05/02/2000	Cheung	
HW	A45	US-6,004,880	12/21/1999	Liu, et al.	

Examiner

Date Considered

04/28/04

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Sheet 3

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Application Number	10/038,066
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HW	A46	US-6,001,730	12/14/1999	Farkas, et al.	
HW	A47	US-5,897,375	04/27/1999	Watts, et al.	
HW	A48	US-5,880,003	03/09/1999	Hayashi	
HW	A49	US-5,866,031	02/02/1999	Carpio, et al.	
HW	A50	US-5,783,489	07/21/1998	Kaufman, et al.	
HW	A51	US-5,770,095	06/23/1998	Sasaki, et al.	
HW	A52	US-5,407,526	04/18/1995	Danielson, et al.	
HW	A53	US-5,391,258	02/21/1995	Branacaleoni, et al.	
HW	A54	US-5,340,370	08/23/1994	Cadien, et al.	
HW	A55	US-5,209,816	05/11/1993	Yu, et al.	
HW	A56	US-5,114,548	05/19/1992	Rhoades	
HW	A57	US-4,992,135	02/12/1991	Doan	
HW	A58	US-3,873,512	03/25/1975	Latanişion	
HW	A59	US-2003/0170091 A1	09/11/2003	Shomier, et al.	
HW	A60	US-2003/0153184 A1	08/14/2003	Wang, et al.	
HW	A61	US-2003/0136055 A1	07/24/2003	Li, et al.	
HW	A62	US-2003/0118445 A1	06/26/2003	Sun, et al.	
HW	A63	US-2003/0083214 A1	05/01/2003	Kakizawa, et al.	
HW	A64	US-2003/0079416 A1	05/01/2003	Ma, et al.	
HW	A65	US-2003/0073386 A1	04/17/2003	Ma, et al.	
HW	A66	US-2002/0139055 A1	10/03/2002	Asano, et al.	
HW	A67	US-2002/0086659 A1	07/25/2002	Sakai, et al.	
HW	A68	US-2002/0088709 A1	07/11/2002	Hongo, et al.	
HW	A69	US-2002/0040100 A1	04/04/2002	Kume, et al.	
HW	A70	US-2002/0016272 A1	02/07/2002	Kakizawa, et al.	
HW	A71	US-2002/0008036 A1	01/24/2002	Wang	

Examiner

Harry D. Wilkins, III

Date Considered

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Chen, et al.

Group Art Unit

1742

Examiner Name

Harry D. Wilkins III

Attorney Docket Number

AMAT/5699/CMP/CMP/RKK

Submission Date

March 17, 2004

NON PATENT LITERATURE DOCUMENTS

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Examiner

Harry S. Wilk, Jr.

Date Considered

4/28/04

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		Group Art Unit	1742
		Examiner Name	Harry D. Wilkins III
		Attorney Docket Number	AMAT/5699/CMP/CMP/RKK
(Use as many sheets as necessary)		Submission Date	April 16, 2004
Sheet	1	of	1

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	A1				
	A2				
	A3				

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HW	B1	WO 99/46081 A1	09/16/1999	JOHN M. BOYD		
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HW	B1	PCT Written Opinion for PCT/US02/04806, dated March 9, 2004 (AMAT/5699.PC)	

Examiner	<i>Harry D. Wilkins III</i>	Date Considered	4/28/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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